

Patent

FEB 01 2007

Customer No. 31561  
Attorney Docket No. 12619-US-452  
Application No.: 10/707,109

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Applicant : Munenaga et al.  
Application No. : 10/707,109  
Filed : November 21, 2003  
For : cell  
Art Unit : 1745  
Examiner : MERCADO, JULIAN A.

**REQUEST FOR CONFIRMATION ON INFORMATION DISCLOSURE**  
**STATEMENT FILED**

+1-571-273-8800 (Total pages: 1+4)

Attn: Mr. Julian Mercado  
U.S. Patent and Trademark Office  
Randolph Building, 401 Dulany Street  
Alexandria, VA 22314

Dear Sir,

We are writing in relation to a request for confirmation on information disclosure statement filed on October 20, 2006 via the new E-Filing system. According to the Office Action mailed on December 29, 2006, the Examiner pointed out the IDS filed on October 20, 2006 has been initialed; however, the form itself does not have any documents cited therein, nor is the certification statement signed by the applicants or the applicants' representative.

After a careful review, applicants hereby respectfully submit that the as-filed IDS was a complete set including both the cited documents and the certification statement executed by the representative of record. Nevertheless, applicants observed from the Private PAIR system that the aforesaid information was missing while the IDS form was uploaded to the EFS server.

Applicants accordingly request the Office to verify the submission of the IDS. Attached hereto please kindly find the as-filed IDS form and the acknowledgement receipt evidencing the entry of the applicants' submission for your reference.

Thank you for your assistance in the subject matter. If you have any questions, please feel free to contact the undersigned.

Respectfully Submitted,  
JIANQ CHYUN Intellectual Property Office

Date: Feb. 1, 2007

By: Belinda Lee  
Belinda Lee  
Registration No.: 46,863

Please send future correspondence to:

7F-1, No. 100, Roosevelt Rd.,

Sec. 2, Taipei 100, Taiwan, R.O.C.

Tel: 886-2-2369 2800 Fax: 886-2-2369 7233 / 886-2-2369 7234

E-MAIL: BELINDA@JCIPGroup.com.tw; USA@JCIPGroup.com.tw

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**Acknowledgement Receipt**

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The USPTO has received your submission at **03:44:49** Eastern Time on **20-OCT-2006**.

No fees have been paid for this submission. Please remember to pay any required fees on time to prevent abandonment of your application.

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**eFiled Application Information**

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|  |                    |
|--|--------------------|
| EFS ID                                       | 1261490            |
| Application Number                           | 10707109           |
| Confirmation Number                          | 1108               |
| Title  | [Cell]             |
| First Named Inventor                         | Noriyoshi Munenaga |
| Customer Number or<br>Correspondence Address | 31561              |
| Filed By                                     | Belinda Lee        |
| Attorney Docket Number                       | 12619-US-452       |
| Filing Date                                  | 21-NOV-2003        |
| Receipt Date                                 | 20-OCT-2006        |
| Application Type                             | Utility            |

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**Application Details**

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| Submitted Files                 | Page Count | Document Description | File Size    | Warnings |
|---------------------------------|------------|----------------------|--------------|----------|
| 12619US-IDS-<br>JP05-114395.pdf | 8          | Foreign Reference    | 443551 bytes | ◆ PASS   |
| 12619US-IDS-<br>JP09-035701.pdf | 9          | Foreign Reference    | 567688 bytes | ◆ PASS   |
| 12619-<br>US_IDS_Form.pdf       | 4          | Foreign Reference    | 705953 bytes | ◆ PASS   |

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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- Send general questions about USPTO programs to the USPTO Contact Center (UCC).
- If you experience technical difficulties or problems with this application, please report them via e-mail to Electronic Business Support or call 1 800-786-9199.

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0861-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|   |                      |                    |
|---|----------------------|--------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number   | 10707109           |
|   | Filing Date          | 2003-11-21         |
|   | First Named Inventor | Noriyoshi Munenaga |
|   | Art Unit             | 1745               |
|   | Examiner Name        | MERCADO, JULIAN A. |
| Attorney Docket Number  |                      | 12619-US-452       |

| U.S. PATENTS   |         |                                      |                           |                        |   |  |  |                          |
|--|---------|--------------------------------------|---------------------------|------------------------|---|--|--|--------------------------|
| Examiner Initial*  | Cite No | Patent Number                        | Kind Code <sup>1</sup>    | Issue Date             | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |  |                          |
|  | 1       |                                      |                           |                        |   |  |  |                          |
| If you wish to add additional U.S. Patent citation information please click the Add button.                |         |                                      |                           |                        |   |  |  |                          |
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| Examiner Initial*  | Cite No | Publication Number                   | Kind Code <sup>1</sup>    | Publication Date       | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |  |                          |
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| FOREIGN PATENT DOCUMENTS   |         |                                      |                           |                        |   |  |  |                          |
| Examiner Initial*  | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> | Kind Code <sup>4</sup> | Publication Date                                | Name of Patentee or Applicant of cited Document                          | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|  | 1       | 05-114395                            | JP                        |                        | 1993-05-07                                      | TATSUO ET AL.  |  | <input type="checkbox"/> |
|  | 2       | 09-035701                            | JP                        |                        | 1997-02-07                                      | TAMURA HIROSHI   |  | <input type="checkbox"/> |
|  | 3       |                                      |                           |                        |   |  |  | <input type="checkbox"/> |

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(Not for submission under 37 CFR 1.99) | Application Number     |                    | 10707109   |
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|  | Examiner Name          | MERCADO, JULIAN A. |            |
|  | Attorney Docket Number | 12619-US-452       |            |

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup>           |
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**EXAMINER SIGNATURE**

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

|   |                        |                    |
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|   | Examiner Name          | MERCADO, JULIAN A. |
|   | Attorney Docket Number | 12619-US-452       |

### CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |               |                     |            |
|------------|---------------|---------------------|------------|
| Signature  | /Belinda Lee/ | Date (YYYY-MM-DD)   | 2006-10-19 |
| Name/Print | Belinda Lee   | Registration Number | 46863      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.